



U.S. Department of Justice

Justice Management Division

Security and Emergency Planning Staff

Washington, D.C. 20530

MAR 12 2019

Ms. Emma Best
MuckRock News, Dept. Mr 49294
411A Highland Ave
Somerville, MA 02144

Re: FOIA Request No. 115140

Dear Ms. Best:

I am responding on behalf of the Justice Management Division (JMD) to your request under the Freedom of Information Act (FOIA) seeking copies of all drafted, submitted or received SF-716 forms from January 1, 2001 through December 31, 2017. The Security and Emergency Planning Staff, Office of Information Safeguards and Security Oversight, reviewed the requested documents that were referred for direct response and made PRIVACY ACT redactions where necessary. The redacted documents pertaining to your request are enclosed.

You may contact our FOIA Public Liaison, Karen McFadden, at (202) 514-3101 or at Karen.McFadden@usdoj.gov for any further assistance and to discuss any aspect of your request. Additionally, you may contact the Office of Government Information Services (OGIS) at the National Archives and Records Administration to inquire about the FOIA mediation services they offer. The contact information for OGIS is as follows: Office of Government Information Services, National Archives and Records Administration, 8601 Adelphi Road-OGIS, College Park, Maryland 20740-6001, e-mail at ogis@nara.gov; telephone at 202-741-5770; toll free at 1-877-684-6448; or facsimile at 202-741-5769.

If you are not satisfied with my response to your request, you may administratively appeal by writing to the Director, Office of Information Policy, United States Department of Justice, Suite 11050, 1425 New York Avenue, NW, Washington, DC 20530-0001, or you may submit an appeal through OIP's eFOIA portal at <http://www.justice.gov/oip/efoia-portal.html>. Your appeal must be postmarked or transmitted electronically within 90 days of the date of the response to your request. If you submit your appeal by mail, both the letter and the envelope should be clearly marked "Freedom of Information Act Appeal."

Sincerely,

Rhonell Cradle
FOIA Liaison
Security and Emergency Planning Staff



U. S. Department of Justice



Washington, D.C. 20530

MAR 28 2002

Ms. Laura L. S. Kimberly
Acting Director, Information Security
Oversight Office
National Archives and Records Administration
700 Pennsylvania Avenue, NW
Washington, DC 20408

Dear Ms. Kimberly:

Enclosed is the Department of Justice response to the Office of Management and Budget Circular A-11 requirement to provide an estimate of the costs associated with classifying and safeguarding National Security Information. The estimated costs were prepared in accordance with the instructions provided in your February 11, 2002 memorandum "Report on Cost Estimates for Security Classification Activities."

If you have any questions regarding this report, please contact D. Jerry Rubino, Department Security Officer, on [REDACTED].

b(6)

Sincerely,

A handwritten signature in black ink, appearing to read "Robert F. Diegelman". It is written over a horizontal line.

Robert F. Diegelman
Acting Assistant Attorney General
for Administration

Enclosure

Department of Justice
National Security Information
2001-2003 Costs Estimates

Reporting Categories	FY 2001 (Est. In \$000)	FY 2002 (Est. In \$000)	FY 2003 (Est. In \$000)
1. Personnel Security	26,596,247	29,069,317	44,937,442
2. Physical Security	7,476,786	8,725,825	10,419,683
3. Information Security (Sum of a, b & c below)	13,081,682	14,744,987	45,411,455
a. Classification Management	738,439	762,356	864,790
b. Declassification	3,942,400	4,330,390	4,777,179
c. Information Technology (Electronic Security)	8,400,843	9,652,241	39,769,486
4. Professional Education, Training, and Awareness	2,654,921	2,579,030	6,315,761
5. Security Management, Oversight, and Planning	1,631,575	1,874,948	2,260,619
6. Unique Items	772,535	651,483	633,353
 Totals: Fiscal Year Estimates	 52,213,746	 57,645,590	 109,978,313
 Totals: Full-Time Equivalents (FTS)	 263	 279	 464

Narrative:

1. **Personnel Security:** The Department of Justice conducts background investigations (BIs) on all employees in sensitive positions. The cost estimates reflect the difference in the cost between the BI required for access to National Security Information (NSI) and the cost of the BI normally required for sensitive positions. The costs for passing and verifying clearances are also reflected in this estimate. This estimate may be affected dramatically by changes in investigative scope policies. The vast majority of the increase in personnel security cost estimates projected for Fiscal Year 2003 is due to reforms instituted by the Department as a result of the Hanssen espionage case.

2. Physical Security: This estimate includes the cost of building and maintaining Sensitive Compartmented Information Facilities, security containers and security systems used to protect NSI. In 2002 and 2003 the National Drug Intelligence Center cost estimates reflect an increase due to facilities expansion.

3. Information Security: (Classification Management) Overall information security costs continue to reflect the substantial investment of both the Drug Enforcement Administration and the Federal Bureau of Investigation (FBI) in information technology systems and the costs for personnel to classify and declassify NSI, control and account for NSI documents, and the costs of shredders used for the destruction of NSI. In 2001, the Historical and Executive Review Unit (HERU) of the Office of Public and Congressional Affairs provided the FBI's Executive Order 12958, Section 3.4 classification/declassification review. The HERU consists of 64 paralegal specialists of which 47 paralegal specialists are assigned to the review of records 25 years old or older pursuant to E.O. 12958. The HERU is also tasked with the Nazi War Crime Bill, Congressional/Presidential declassification mandates, and other historical document matters. The FBI reviewed 1,667,285 pages for Fiscal Year 2001 and has goals to review at least one million pages for Fiscal Year 2002.

Information Technology: (Electronic Security) This estimate includes the costs for computer equipment and associated personnel expenses incurred to operate the systems used for processing NSI, the costs of secure communications equipment, the associated costs for controlling keying materials, and Technical Security Countermeasures equipment. The vast majority of the increase in information technology cost estimates projected for Fiscal Year 2003 is due to reforms instituted by the Department as a result of the Hanssen espionage case.

4. Professional Education, Training, and Awareness: This estimate includes personnel and training materials for NSI access. The vast majority of the increase in professional education, training, and awareness cost estimates projected for Fiscal Year 2003 is due to reforms instituted by the Department as a result of the Hanssen espionage case.

5. Security Management, Oversight, and Planning: This includes the estimated costs for personnel to prepare NSI policy documents, monitor compliance with established policy, and collect data and prepare reports required for NSI.

6. Unique Items: This estimate includes personnel and travel costs associated with litigation under the Classified Information Procedures Act and Foreign Intelligence Surveillance Act.



U.S. Department of Justice
Justice Management Division
Security and Emergency Planning Staff

Washington, D.C. 20530

MAR 21 2003

Ms. Laura L. S. Kimberly
Associate Director for Policy
Information Security Oversight Office
National Archives and Records
Administration
700 Pennsylvania Avenue, NW
Washington, DC 20408

Dear Ms. Kimberly:

Enclosed is the Department of Justice response to the Office of Management and Budget Circular A-11 requirement to provide an estimate of the costs associated with classifying and safeguarding National Security Information. The estimated costs were prepared in accordance with the instructions provided in your memorandum of February 7, 2003, "Report on Cost Estimates for Security Classification Activities."

If you have any questions regarding this report, please contact D. Jerry Rubino, Department Security Officer, on [redacted].

b(6)

Sincerely,

D. Jerry Rubino
Department Security Officer

Enclosure

Department of Justice
National Security Information
2002-2004 Cost Estimates

Reporting Categories	FY 2002	FY 2003	FY 2004
1. Personnel Security	28,842,508	70,937,821	72,599,271
2. Physical Security	20,059,850	31,208,802	37,137,402
3. Information Security (Sum of a, b & c below)	20,205,600	83,701,155	56,475,870
a. Classification Management	1,750,500	3,725,500	3,320,500
b. Declassification	904,500	1,985,500	1,778,500
c. Information Technology (Electronic Security)	17,550,600	77,990,155	51,376,870
4. Professional Education, Training, and Awareness	1,964,000	7,661,000	7,102,000
5. Security Management, Oversight, and Planning	5,264,500	5,464,500	5,472,500
6. Unique Items	651,483	633,353	749,492
Totals: Fiscal Year Estimates	76,987,941	199,606,631	179,536,535
Totals: Full-Time Equivalents (FTS)	445	594	720

Narrative:

- 1. Personnel Security:** The Department of Justice conducts background investigations (BIs) on all employees in sensitive positions. The cost estimates reflect the difference in the cost between the BI required for access to National Security Information (NSI) and the cost of the BI normally required for sensitive positions. The costs for passing and verifying clearances are also reflected in this estimate. This estimate may be affected dramatically by changes in investigative scope policies. The vast majority of the significant increase in personnel security cost estimates projected for Fiscal Years (FY) 2003 and 2004 is due to reforms instituted by the Federal Bureau of Investigation (FBI) as a result of the Hanssen espionage case and the Webster Commission Report.

2. Physical Security: This estimate includes the cost of building and maintaining Sensitive Compartmented Information Facilities, security containers and security systems used to protect NSI. In FYs 2002 and 2003 the National Drug Intelligence Center cost estimates reflect an increase due to facilities expansion. The FBI noted significant capital outlays associated with the completion of the Enterprise Security Operation Center facility at FBI Headquarters.

3. Information Security: (Classification Management) Overall information security costs continue to reflect the substantial investment of the FBI in information technology systems and the costs for personnel to classify and declassify NSI, control and account for NSI documents, and the costs of shredders used for the destruction of NSI.

Information Technology: (Electronic Security) This estimate includes the costs for computer equipment and associated personnel expenses incurred to operate the systems used for processing NSI, the costs of secure communications equipment, the associated costs for controlling keying materials, and Technical Security Countermeasures equipment. The vast majority of the increase in information technology cost estimates projected for FYs 2003 and 2004 is due to reforms instituted by the FBI as a result of the Hanssen espionage case and the Webster Commission Report.

4. Professional Education, Training, and Awareness: This estimate includes personnel and training materials for NSI access. The vast majority of the increase in professional education, training, and awareness cost estimates projected for FYs 2003 and 2004 is due to reforms instituted by the FBI as a result of the Hanssen espionage case and the Webster Commission Report.

5. Security Management, Oversight, and Planning: This includes the estimated costs for personnel to prepare NSI policy documents, monitor compliance with established policy, and collect data and prepare reports required for NSI. The FBI's development of its Information Assurance Program is of particular note.

6. Unique Items: This estimate includes personnel and travel costs associated with litigation under the Classified Information Procedures Act and Foreign Intelligence Surveillance Act.

NOTE: This years cost estimates report includes only FY 2002 figures for the Immigration and Naturalization Service, whose functions were transferred to the newly created Department of Homeland Security as a result of the Homeland Security Act of 2002. Similarly, cost estimates figures for FYs 2003 and 2004 are included for the Bureau of Alcohol, Tobacco, Firearms, and Explosives, whose functions were transferred to the Department of Justice.



U.S. Department of Justice

Washington, D.C. 20530

MAY 7 2004

J. William Leonard
Director
Information Security Oversight Office
700 Pennsylvania Avenue, NW
Suite 5W
Washington, DC 20408

Dear Mr. Leonard:

Enclosed is the Department of Justice response to the Office of Management and Budget Circular A-11 requirement to provide an estimate of the costs associated with classifying and safeguarding National Security Information. The estimated costs were prepared in accordance with the instructions provided by Laura L. S. Kimberly, Associate Director for Policy, of your office, in a letter dated February 10, 2004, entitled "Report on Cost Estimates for Security Classification Activities."

If you have any questions regarding this report, please contact James L. Dunlap, Department Security Officer, on [REDACTED]

b(6)

Sincerely,

Paul R. Corts
Assistant Attorney General
for Administration

Enclosure

RECEIVED
MAY 17 2004

BY: _____

Department of Justice
National Security Information
2003-2005 Cost Estimates

Reporting Categories	FY 2003	FY 2004	FY 2005
1. Personnel Security	<u>\$ 61,813,526</u>	<u>\$ 67,023,961</u>	<u>\$ 77,102,996</u>
2. Physical Security	<u>27,155,702</u>	<u>39,507,902</u>	<u>54,235,000</u>
3. Information Security (Sum of a, b, c & d below)	<u>119,212,155</u>	<u>63,515,045</u>	<u>67,260,348</u>
a. Classification Management	<u>2,870,500</u>	<u>3,166,500</u>	<u>3,185,500</u>
b. Declassification	<u>1,594,500</u>	<u>1,606,175</u>	<u>1,655,848</u>
c. Information Systems Security	<u>105,318,755</u>	<u>42,219,370</u>	<u>53,078,000</u>
d. Miscellaneous (OPSEC & TSCM)	<u>9,428,400</u>	<u>16,523,000</u>	<u>9,341,000</u>
4. Professional Education, Training and Awareness	<u>5,980,100</u>	<u>6,010,000</u>	<u>5,766,000</u>
5. Security Management, Oversight and Planning	<u>1,245,500</u>	<u>4,203,500</u>	<u>5,265,500</u>
6. Unique Items	<u>633,353</u>	<u>749,492</u>	<u>889,087</u>
Totals: Fiscal Year Estimates	\$ 216,040,336	\$ 181,009,900	\$ 210,518,931
Totals: Full-Time Equivalents (FTS)	601	626	685

Narrative:

- 1. Personnel Security:** The Department of Justice conducts background investigations (BIs) on all employees in sensitive positions. The cost estimates reflect the difference in the cost between the BI required for access to National Security Information (NSI) and the cost of the BI normally required for sensitive positions. The costs for passing and verifying clearances are also reflected in this estimate. This estimate may be affected dramatically by changes in investigative scope policies. The vast majority of the significant increase in personnel security cost estimates projected for Fiscal Years (FY) 2004 and 2005 is due to reforms instituted by the Federal Bureau of Investigation (FBI) as a result of the Hanssen espionage case and the Webster Commission Report and aggressive background processes in by the Bureau of Alcohol, Tobacco, Firearms and Explosives in FY 2003 and 2004 and

the United States Marshals Service in FYs 2003, 2004, and 2005.

2. **Physical Security:** This estimate includes the cost of building and maintaining Sensitive Compartmented Information Facilities, security containers and security systems used to protect NSI. In FY 2003 the National Drug Intelligence Center cost estimates reflect an increase due to facilities expansion. The FBI noted significant capital outlays in FY 2003 and 2004 associated with the completion of the Enterprise Security Operation Center facility at FBI Headquarters.
3. **Information Security: (Classification Management)** Overall information security costs continue to reflect the substantial investment of the FBI in information technology systems and the costs for personnel to classify and declassify NSI, control and account for NSI documents, and the costs of shredders used for the destruction of NSI.
4. **Information Systems Security:** This estimate includes the costs for computer equipment and associated personnel expenses incurred to operate the systems used for processing NSI, the costs of secure communications equipment and the associated costs for controlling keying materials. The vast majority of the increase in information technology cost estimates projected for FYs 2003, 2004, and 2005 is due to reforms instituted by the FBI as a result of the Hanssen espionage case and the Webster Commission Report.
5. **Professional Education, Training and Awareness:** This estimate includes personnel and training materials for NSI access. The vast majority of the increase in professional education, training and awareness cost estimates projected for FYs 2003, 2004, and 2005 is due to reforms instituted by the FBI as a result of the Hanssen espionage case and the Webster Commission Report.
6. **Security Management, Oversight and Planning:** This includes the estimated costs for personnel to prepare NSI policy documents, monitor compliance with established policy, and collect data and prepare reports required for NSI. The FBI's development of its Information Assurance Program is of particular note.
7. **Unique Items:** This estimate includes personnel and travel costs associated with litigation under the Classified Information Procedures Act and Foreign Intelligence Surveillance Act.



U.S. Department of Justice

MAR 31 2005

Washington, D.C. 20530

J. William Leonard
Director
Information Security Oversight Office
700 Pennsylvania Avenue, NW
Suite 5W
Washington, DC 20408

Dear Mr. Leonard:

Enclosed is the Department of Justice response to the Office of Management and Budget Circular A-11 requirement to provide an estimate of the costs associated with classifying and safeguarding National Security Information. The estimated costs were prepared in accordance with the instructions provided by your letter of February 11, 2005, entitled "Report on Cost Estimates for Security Classification Activities."

If you have any questions regarding this report, please contact James L. Dunlap, Department Security Officer, on [REDACTED].

b(6)

Sincerely,

Paul R. Corts
Assistant Attorney General
for Administration

Enclosure

Department of Justice
National Security Information
2004-2006 Cost Estimates

Reporting Categories	FY 2004	FY 2005	FY 2006
1. Personnel Security	<u>\$ 42,633,883.00</u>	<u>\$ 60,073,293.00</u>	<u>\$ 69,383,632.00</u>
2. Physical Security	<u>55,460,168.00</u>	<u>63,798,724.00</u>	<u>72,594,521.00</u>
3. Information Security			
a. Classification Management	<u>3,058,938.00</u>	<u>4,476,538.00</u>	<u>5,474,869.65</u>
b. Declassification	<u>1,607,675.00</u>	<u>2,418,948.00</u>	<u>3,255,000.00</u>
c. Information Systems Security for Classified Information	<u>61,069,050.00</u>	<u>55,145,683.00</u>	<u>49,287,595.00</u>
d. Miscellaneous (PSEC & TSCM)	<u>2,102,600.00</u>	<u>4,708,000.00</u>	<u>5,668,400.00</u>
e. Information Security Subtotal <i>(Sum of 3.a, 3.b., 3.c., & 3.d.)</i>	<u>67,838,263.00</u>	<u>66,749,169.00</u>	<u>63,685,864.65</u>
4. Professional Education, Training and Awareness	<u>4,428,100.00</u>	<u>5,381,350.00</u>	<u>6,113,500.00</u>
5. Security Management, Oversight and Planning	<u>3,223,000.00</u>	<u>20,007,600.00</u>	<u>14,804,000.00</u>
6. Unique Items	<u>749,492.00</u>	<u>889,087.00</u>	<u>1,256,996.00</u>
Totals: Fiscal Year Estimates	\$ 174,332,906.00	\$ 216,899,223.00	\$ 227,838,513.65

Narrative:

1. **Personnel Security:** The Department of Justice conducts background investigations (BIs) on all employees in sensitive positions. The cost estimates reflect the difference in the cost between the BI required for access to National Security Information (NSI) and the cost of the BI normally required for sensitive positions. The costs for passing and verifying clearances are also reflected in this estimate. This estimate may be affected dramatically by changes in investigative scope policies. The vast majority of the significant increase in personnel security cost estimates projected for Fiscal Years (FY) 2004, 2005 and 2006 is due to reforms instituted by the Federal Bureau of Investigation (FBI) as a result of the Hanssen espionage case and the Webster Commission Report and aggressive background processes in the United States Marshals Service in FYs 2004 and 2005.

- 2. Physical Security:** This estimate includes the cost of building and maintaining Sensitive Compartmented Information Facilities, security containers and security systems used to protect NSI. In FY 2005 and 2006 the Bureau of Alcohol, Tobacco, Firearms, and Explosives cost estimates reflect an increase due to facilities expansion. The FBI noted significant capital outlays in FY 2004 associated with the completion of the Enterprise Security Operation Center facility at FBI Headquarters.
- 3. Information Security: (Classification Management)** Overall information security costs continue to reflect the substantial investment of the FBI in information technology systems and the costs for personnel to classify and declassify NSI, control and account for NSI documents, and the costs of shredders used for the destruction of NSI.
Information Systems Security: This estimate includes the costs for computer equipment and associated personnel expenses incurred to operate the systems used for processing NSI, the costs of secure communications equipment and the associated costs for controlling keying materials. The vast majority of the increase in information technology cost estimates for FYs 2004 and 2005 is due to reforms instituted by the FBI as a result of the Hanssen espionage case and the Webster Commission Report. The Drug Enforcement Administration (DEA) reported an aggressive training and auditing initiative for the DEA COMSEC program for FY 2004, 2005, and 2006.
- 4. Professional Education, Training and Awareness:** This estimate includes personnel and training materials for NSI access. The vast majority of the increase in professional education, training and awareness cost estimates for FYs 2004 and 2005 is due to reforms instituted by the FBI as a result of the Hanssen espionage case and the Webster Commission Report.
- 5. Security Management, Oversight and Planning:** This includes the estimated costs for personnel to prepare NSI policy documents, monitor compliance with established policy, and collect data and prepare reports required for NSI. The vast majority of increase in security management, oversight and planning cost estimates projected for FYs 2005 and 2006 comes from the FBI and is due to the development of an information system recommended by the Webster Commission to automate all security processes (forms, policies, issues, etc.) across all security disciplines.
- 6. Unique Items:** This estimate includes personnel and travel costs associated with litigation under the Classified Information Procedures Act and Foreign Intelligence Surveillance Act.



U.S. Department of Justice

Washington, D.C. 20530

March 29, 2006

J. William Leonard
Director
Information Security Oversight Office
National Archives and Records Administration
700 Pennsylvania Avenue, NW, Room 500
Washington, DC 20408

Dear Mr. Leonard:

Enclosed is the Department of Justice response to the Office of Management and Budget Circular A-11 requirement to provide an estimate of the costs associated with classifying and safeguarding National Security Information. The estimated costs were prepared in accordance with the instructions provided by your letter of February 17, 2006, entitled "Report on Cost Estimates for Security Classification Activities."

If you have any questions regarding this report, please contact James L. Dunlap, Department Security Officer, on [REDACTED].

b(6)

Sincerely,

Paul R. Corts
Assistant Attorney General
for Administration

Enclosure

Department of Justice
National Security Information
2005-2007 Cost Estimates

Reporting Categories	FY 2005	FY 2006	FY 2007
1. Personnel Security	<u>\$ 75,260,579.00</u>	<u>\$78,039,151.00</u>	<u>\$80,707,427.00</u>
2. Physical Security	<u>64,900,696.00</u>	<u>68,172,044.00</u>	<u>69,357,814.00</u>
3. Information Security			
a. <i>Classification Management</i>	<u>4,668,562.00</u>	<u>4,915,935.65</u>	<u>5,144,298.00</u>
b. <i>Declassification</i>	<u>3,172,085.00</u>	<u>3,466,550.00</u>	<u>3,409,747.00</u>
c. <i>Information Systems Security for Classified Information</i>	<u>49,821,068(17)</u>	<u>53,026,759.93</u>	<u>54,768,066.28</u>
d. <i>Miscellaneous (OPSEC & TSCM)</i>	<u>7,875,710.00</u>	<u>8,197,207.00</u>	<u>8,284,422.00</u>
e. <i>Information Security Subtotal</i>	<u>65,537,425(17)</u>	<u>69,606,452.58</u>	<u>71,606,533.28</u>
(Sum of 3.a., 3.b., 3.c., & 3.d.)			
4. Professional Education, Training and Awareness	<u>1,890,765.00</u>	<u>1,970,486.00</u>	<u>1,987,654.00</u>
5. Security Management, Oversight and Planning	<u>11,651,785.00</u>	<u>12,262,823.00</u>	<u>12,706,799.00</u>
6. Unique Items	<u>872,087.00</u>	<u>1,231,996.00</u>	<u>1,439,788.00</u>
Totals: Fiscal Year Estimates	<u>\$220,113,337(17)</u>	<u>\$231,282,952.58</u>	<u>\$237,806,015.28</u>

Narrative:

1. **Personnel Security:** The Department of Justice conducts background investigations (BIs) on all employees in sensitive positions. The cost estimates reflect the difference in the cost between the BI required for access to National Security Information (NSI) and the cost of the BI normally required for sensitive positions. The costs for passing and verifying clearances are also reflected in this estimate. This estimate may be affected dramatically by changes in investigative scope policies. The vast majority of the significant increase in personnel security cost estimates projected for Fiscal Years (FYS) 2005, 2006 and 2007 is due to reforms instituted by the Federal Bureau of Investigation (FBI) as a result of the Hanssen espionage case and the Webster Commission Report.

- 2. Physical Security:** This estimate includes the cost of building and maintaining Sensitive Compartmented Information Facilities, security containers and security systems used to protect NSI. In FYs 2005, 2006 and 2007 the Bureau of Alcohol, Tobacco, Firearms, and Explosives cost estimates reflect the upgrading of field facilities.
- 3. Information Security: (Classification Management)** Overall information security costs continue to reflect the substantial investment of the FBI in information technology systems and the costs for personnel to classify and declassify NSI, control and account for NSI documents, and the costs of shredders used for the destruction of NSI.
- Information Systems Security:** This estimate includes the costs for computer equipment and associated personnel expenses incurred to operate the systems used for processing NSI, the costs of secure communications equipment and the associated costs for controlling keying materials. The vast majority of the increase in information technology cost estimates for FYs 2005, 2006, and 2007 is due to reforms instituted by the FBI as a result of the Hanssen espionage case and the Webster Commission Report. The Drug Enforcement Administration (DEA) reported an aggressive training and auditing initiative for the DEA COMSEC program for FYs 2005, 2006, and 2007.
- 4. Professional Education, Training and Awareness:** This estimate includes personnel and training materials for NSI access. The vast majority of the increase in professional education, training and awareness cost estimates for FYs 2005, 2006, and 2007 is due to reforms instituted by the FBI as a result of the Hanssen espionage case and the Webster Commission Report.
- 5. Security Management, Oversight and Planning:** This includes the estimated costs for personnel to prepare NSI policy documents, monitor compliance with established policy, and collect data and prepare reports required for NSI. The vast majority of increase in security management, oversight and planning cost estimates projected for FYs 2005, 2006, and 2007 comes from the FBI and is due to the development of an information system recommended by the Webster Commission to automate all security processes (forms, policies, issues, etc.) across all security disciplines.
- 6. Unique Items:** This estimate includes personnel and travel costs associated with litigation under the Classified Information Procedures Act and Foreign Intelligence Surveillance Act.



Rec'd 4/24/07
U.S. Department of Justice

April 11, 2007

Washington, D.C. 20530

J. William Leonard
Director
Information Security Oversight Office
National Archives and Records Administration
700 Pennsylvania Avenue, NW, Room 500
Washington, DC 20408

Dear Mr. Leonard:

Enclosed is the Department of Justice response to the Office of Management and Budget Circular A-11 requirement to provide an estimate of the costs associated with classifying and safeguarding National Security Information. The estimated costs were prepared in accordance with the instructions provided by your letter of January 25, 2007, entitled "Report on Cost Estimates for Security Classification Activities."

If you have any questions regarding this report, please contact James L. Dunlap, Department Security Officer, on [REDACTED] b(6)

Sincerely,

Lee J. Loebus
Assistant Attorney General
for Administration

Enclosure

Department of Justice
National Security Information
2006 Cost Estimates

Reporting Categories	FY 2006
1. Personnel Security	\$ 91,675,058.00
2. Physical Security	<u>67,768,586.00</u>
3. Information Security	<u>4,442,281.65</u>
a. Classification Management	<u>3,222,635.00</u>
b. Declassification	<u>48,796,718.93</u>
c. Information Systems Security for Classified Information	<u>6,869,491.77</u>
d. Miscellaneous (OPSEC & TSCM)	<u>63,331,127.35</u>
e. Information Security Subtotal <i>(Sum of 3.a., 3.b., 3.c., & 3.d.)</i>	<u>3,440,433.00</u>
4. Professional Education, Training and Awareness	<u>15,615,168.00</u>
5. Security Management, Oversight and Planning	<u>1,463,493.00</u>
6. Unique Items	
Totals: Fiscal Year Estimates	\$243,293,865.35

Narrative:

- 1. Personnel Security:** The Department of Justice conducts background investigations (BIs) on all employees in sensitive positions. This cost estimate reflects the difference in the cost between the BI required for access to National Security Information (NSI) and the cost of the BI normally required for sensitive positions. The costs for passing and verifying clearances are also reflected in this estimate. The vast majority of the significant increase in the personnel security cost estimate for Fiscal Year (FY) 2006 is due to reforms instituted by the Federal Bureau of Investigation (FBI) as a result of the Hanssen espionage case and the Webster Commission Report.

- 2. Physical Security:** This estimate includes the cost of building and maintaining Sensitive Compartmented Information Facilities, security containers and security systems used to protect NSI.
- 3.a Information Security: (Classification Management)** Overall information security costs continue to reflect the substantial investment of the FBI in information technology systems and the cost for personnel to classify and declassify NSI, control and account for NSI documents, and the cost of shredders used for the destruction of NSI.
- 3.c Information Security: (Information Systems Security for Classified Information)** This estimate includes the cost for computer equipment and associated personnel expenses incurred to operate the systems used for processing NSI, the cost of secure communications equipment and the associated cost for controlling keying materials. The vast majority of the increase in the information technology cost estimate for FY 2006 is due to reforms instituted by the FBI as a result of the Hanssen espionage case and the Webster Commission Report. The Bureau of Alcohol, Tobacco, Firearms and Explosives reported estimate includes implementation, enhancement and maintenance of SIPRNet and JWICS for field sites. The Executive Office for United States Attorneys reported significant costs for the deployment and maintenance of SIPRNet and JWICS systems to ninety-nine United States Attorney's Offices.
- 4. Professional Education, Training and Awareness:** This estimate includes personnel and training materials for NSI access. The vast majority of the increase in the professional education, training and awareness cost estimate for FY 2006 is due to reforms instituted by the FBI as a result of the Hanssen espionage case and the Webster Commission Report.
- 5. Security Management, Oversight and Planning:** This includes the estimated cost for personnel to prepare NSI policy documents, monitor compliance with established policy, and collect data and prepare reports required for NSI. The vast majority of increase in the security management, oversight and planning cost estimate projected for FY 2006 comes from the FBI and is due to the development of an information system recommended by the Webster Commission to automate all security processes (forms, policies, issues, etc.) across all security disciplines.
- 6. Unique Items:** This estimate includes personnel and travel costs associated with litigation under the Classified Information Procedures Act and Foreign Intelligence Surveillance Act.



U.S. Department of Justice

Washington, D.C. 20530

RECEIVED
APR 15 2008

APR 7 2008

William J. Bosanko
Acting Director
Information Security Oversight Office
National Archives and Records Administration
700 Pennsylvania Avenue, NW, Room 500
Washington, DC 20408

Dear Mr. Bosanko:

Enclosed is the Department of Justice response to the Office of Management and Budget Circular A-11 requirement to provide an estimate of the costs associated with classifying and safeguarding National Security Information. The estimated costs were prepared in accordance with the instructions provided by your letter of January 23, 2008, entitled "Report on Cost Estimates for Security Classification Activities."

If you have any questions regarding this report, please contact [REDACTED], Assistant Director, Office of Information Safeguards and Security Oversight, Security and Emergency Planning Staff, on [REDACTED].

b(6)

Sincerely,

James L. Dunlap

Department Security Officer
Security and Emergency Planning Staff

Enclosure

Department of Justice
National Security Information
2007 Cost Estimates

Reporting Categories	FY 2007
1. Personnel Security	\$ 66,618,534.84
2. Physical Security	66,663,485.64
3. Information Security	
a. Classification Management	3,654,085.00
b. Declassification	2,920,796.00
c. Information Systems Security for Classified Information	47,132,917.62
d. Miscellaneous (OPSEC & TSCM)	7,536,733.13
e. Information Security Subtotal <i>(Sum of 3.a., 3.b., 3.c., & 3.d.)</i>	61,244,531.75
4. Professional Education, Training and Awareness	2,862,703.00
5. Security Management, Oversight and Planning	15,123,861.00
6. Unique Items	1,572,937.00
Totals: Fiscal Year Estimates	\$214,086,053.23

Narrative:

- Personnel Security:** The Department of Justice conducts background investigations (BIs) on all employees in sensitive positions. This cost estimate reflects the difference in the cost between the BI required for access to National Security Information (NSI) and the cost of the BI normally required for sensitive positions. The costs for passing and verifying clearances are also reflected in this estimate. In Fiscal Year (FY) 2007 the Federal Bureau of Investigation (FBI) changed its collection methodology due to more sophisticated reporting capabilities which now results in more accurate reporting of NSI costs data. Hence, the substantial difference in personnel security costs reported in FY 2006 and FY 2007 is directly attributed to the FBI's new collection method.

3.a Information Security: (Classification Management) Overall information security costs continue to reflect the substantial investment of the FBI in information technology systems and the cost for personnel to classify and declassify NSI, control and account for NSI documents, and the cost of shredders used for the destruction of NSI.

3.c Information Security: (Information Systems Security for Classified Information) This estimate includes the cost for computer equipment and associated personnel expenses incurred to operate the systems used for processing NSI, the cost of secure communications equipment and the associated cost for controlling keying materials. The vast majority of the increase in the information technology cost estimate for FY 2007 is due to reforms instituted by the FBI as a result of the Hanssen espionage case and the Webster Commission Report. The Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) reported estimate includes implementation, enhancement and maintenance of SIPRNet and JWICS for field sites. The Executive Office for United States Attorneys (EOUSA) reported significant costs for the deployment and maintenance of SIPRNet and JWICS systems to ninety-nine United States Attorney's Offices. The ATF, EOUSA and Justice Management Division reported estimate includes significant costs for the purchase of Secure Terminal Equipment and cryptographic encryption cards as a transition from older Secure Telephone Equipment Third Generation that will cease to be supported by the National Security Agency by December 31, 2009.

4. Professional Education, Training and Awareness: This estimate includes personnel and training materials for NSI access. The vast majority of the increase in the professional education, training and awareness cost estimate for FY 2007 is due to reforms instituted by the FBI as a result of the Hanssen espionage case and the Webster Commission Report.

5. Security Management, Oversight and Planning: This includes the estimated cost for personnel to prepare NSI policy documents, monitor compliance with established policy, and collect data and prepare reports required for NSI. The vast majority of increase in the security management, oversight and planning cost estimate projected for FY 2007 comes from the FBI and is due to the development of an information system recommended by the Webster Commission to automate all security processes (forms, policies, issues, etc.) across all security disciplines.

6. Unique Items: This estimate includes personnel and travel costs associated with litigation under the Classified Information Procedures Act and Foreign Intelligence Surveillance Act.

United States Department of Justice
 National Security Information
 2008 Cost Estimates

Reporting Categories	FY 2008
1. Personnel Security	\$ 67,371,959.93
2. Physical Security	73,655,177.46
3. Information Security	
a. Classification Management	3,388,376.60
b. Declassification	2,410,326.00
c. Information Systems Security for Classified Information	47,029,754.42
d. Miscellaneous (OPSEC & TSCM)	4,965,063.00
e. Information Security Subtotal	57,793,519.02
	1
(Sum of 3.a, 3.b., 3.c., & 3.d.)	
4. Professional Education, Training and Awareness	3,162,897.00
5. Security Management, Oversight and Planning	17,361,689.00
6. Unique Items	2,347,138.00
Totals: Fiscal Year Estimates	\$ 221,692,380.41

Narrative:

1. **Personnel Security:** The Department of Justice conducts background investigations (BIs) on all employees in sensitive positions. This cost estimate reflects the difference in the cost between the BI required for access to National Security Information (NSI) and the cost of the BI normally required for sensitive positions.

2. Physical Security: This estimate includes the cost of building and maintaining Sensitive Compartmented Information Facilities, security containers and security systems used to protect NSI. The United States Marshals Service (USMS) reported significant costs for the installation of new security systems for Regional Task Forces and Regional Technical Operations Centers.

3.a Information Security: (Classification Management) Overall information security costs continue to reflect the substantial investment of the Federal Bureau of Investigation (FBI) in information technology systems and the cost for personnel to classify and declassify NSI, control and account for NSI documents, and the cost of shredders used for the destruction of NSI.

3.c Information Security: (Information Systems Security for Classified Information) This estimate includes the cost for computer equipment and associated personnel expenses incurred to operate the systems used for processing NSI, the cost of secure communications equipment and the associated cost for controlling keying materials. The vast majority of the increase in the information technology cost estimate for FY 2008 is due to reforms instituted by the FBI as a result of the Hanssen espionage case and the Webster Commission Report. The Executive Office for United States Attorneys (EOUSA) reported significant costs for the deployment and maintenance of SIPRNet and JWICS systems to ninety-nine United States Attorney's Offices. The EOUSA and Justice Management Division reported estimate includes significant costs for the purchase of Secure Terminal Equipment and cryptographic encryption cards as a transition from older Secure Telephone Equipment Third Generation that will cease to be supported by the National Security Agency by December 31, 2009.

4. Professional Education, Training and Awareness: This estimate includes personnel and training materials for NSI access. The vast majority of the increase in the professional education, training and awareness cost estimate for FY 2008 is due to reforms instituted by the FBI as a result of the Hanssen espionage case and the Webster Commission Report. The National Security Division reported significant costs due to an aggressive training program.

5. Security Management, Oversight and Planning: This includes the estimated cost for personnel to prepare NSI policy documents, monitor compliance with established policy, and collect data and prepare reports required for NSI. The vast majority of increase in the security management, oversight and planning cost estimate projected for FY 2008 comes from the FBI and is due to the development and maintenance of an information system recommended by the Webster Commission to automate all security processes (forms, policies, issues, etc.) across all security disciplines.

6. Unique Items: This estimate includes personnel and travel costs associated with litigation under the Classified Information Procedures Act and Foreign Intelligence Surveillance Act.



U.S. Department of Justice

Justice Management Division

Security and Emergency Planning Staff

Washington, D.C. 20530

FEB 25 2010

William J. Bosanko
Director
Information Security Oversight Office
National Archives and Records Administration
700 Pennsylvania Avenue, NW, Room 500
Washington, DC 20408

Dear Mr. Bosanko:

Enclosed is the Department of Justice response to the Office of Management and Budget Circular A-11 requirement to provide an estimate of the costs associated with classifying and safeguarding National Security Information. The estimated costs were prepared in accordance with the instructions provided by your letter of December 11, 2009, entitled "Report on Cost Estimates for Security Classification Activities for FY 2009."

If you have any questions regarding this report, please contact [REDACTED] Assistant Director, Office of Information Safeguards and Security Oversight, Security and Emergency Planning Staff, on [REDACTED].

b(6)

Sincerely,

James L. Dunlap

James L. Dunlap
Department Security Officer

Enclosure

**United States Department of Justice
National Security Information
2009 Cost Estimates**

Reporting Categories	FY 2009
1. Personnel Security	<u>\$ 76,503,630.01</u>
2. Physical Security	<u>55,145,633.33</u>
3. Information Security	<u>3,288,854.11</u>
a. Classification Management	<u>2,297,898.24</u>
b. Declassification	<u>54,136,878.26</u>
c. Information Systems Security for Classified Information	<u>6,073,024.20</u>
d. Miscellaneous (OPSEC, & ISCOM)	<u>66,696,654.81</u>
e. Sum of 3.a., 3.b., 3.c., & 3.d.)	<u>3,650,805.66</u>
4. Professional Education, Training and Awareness	<u>16,649,706.00</u>
5. Security Management, Oversight and Planning	<u>2,327,822.00</u>
6. Unique Items	
 Totals: Fiscal Year Estimates	 <u>\$ 221,272,251.81</u>

Narrative:

1. **Personnel Security:** The Department of Justice conducts background investigations (BIs) on all employees in sensitive positions. This cost estimate reflects the difference in the cost between the BI required for access to National Security Information (NSI) and the cost of the BI normally required for sensitive positions. Alcohol, Tobacco, Firearms and Explosives, the Federal Bureau of Investigation (FBI) and the United States Marshals Service (USMS) reported significant costs in personnel security due to increases in initial applicant investigations and reinvestigations.

2. Physical Security: This estimate includes the cost of building and maintaining Sensitive Compartmented Information Facilities, security containers and security systems used to protect NSI. The USMS reported a significant decrease in costs for FY 2009 having completed installation of new security systems for Regional Task Forces and Regional Technical Operations Centers during FY 2008. The FBI reported a significant decrease in costs for FY 2009 due to the cost of the guard contract trending downward and the lack of a transfer of funds for equipment in FY 2009 that had been previously received in FY 2008.

3.e Information Security: (Information Systems Security for Classified Information) This estimate includes the cost for computer equipment and associated personnel expenses incurred to operate the systems used for processing NSI, the cost of secure communications equipment and the associated cost for controlling keying materials. The Executive Office for United States Attorneys (EOUSA) reported significant costs for the deployment and maintenance of SIPRNet and JWICS systems to ninety-nine United States Attorneys' Offices. The EOUSA reported estimate includes significant costs for the purchase of Secure Terminal Equipment and cryptographic encryption cards as a transition from older Secure Telephone Equipment Third Generation that ceased to be supported by the National Security Agency on December 31, 2009. The FBI reported an increase due to an enhancement received to remedy a network vulnerability; however, the vast majority of the increase in the information technology cost estimate for FY 2009 is due to continued reforms instituted by the FBI as a result of the Hanssen espionage case and the Webster commission Report.

3.d Miscellaneous (OPSEC & TSCM): This estimates includes costs incurred for the development, training, surveys and application of OPSEC and TSCM activities. The FBI reported a significant increase in costs for FY 2009 due to the realignment of staffing and resources.

4. Professional Education, Training and Awareness: This estimate includes personnel and training materials for NSI access. The vast majority of the increase in the professional education, training and awareness cost estimate for FY 2009 is due to reforms instituted by the FBI as a result of the Hanssen espionage case and the Webster Commission Report. The National Security Division reported significant costs due to a continued aggressive training program.

5. Security Management, Oversight and Planning: This includes the estimated cost for personnel to prepare NSI policy documents, monitor compliance with established policy, and collect data and prepare reports required for NSI.

6. Unique Items: This estimate includes personnel and travel costs associated with litigation under the Classified Information Procedures Act and Foreign Intelligence Surveillance Act.



U.S. Department of Justice
Justice Management Division
Security and Emergency Planning Staff

Washington, D.C. 20530

FEB 17 2011

William J. Bosanko
Director
Information Security Oversight Office
National Archives and Records Administration
700 Pennsylvania Avenue, NW, Room 500
Washington, DC 20408

Dear Mr. Bosanko:

Enclosed is the Department of Justice response to the Office of Management and Budget Circular A-11 requirement to provide an estimate of the costs associated with classifying and safeguarding National Security Information. The estimated costs were prepared in accordance with the instructions provided by your letter of December 21, 2010, entitled "Report on Cost Estimates for Security Classification Activities for FY 2010."

If you have any questions regarding this report, please contact [REDACTED], Assistant Director, Office of Information Safeguards and Security Oversight, Security and Emergency Planning Staff, on [REDACTED].

b(6)

Sincerely,

James L. Dunlap
Department Security Officer

Enclosure

Security Costs Estimates

Department/Agency:

United States Department of Justice

Fiscal Year:

2010

Point of Contact:
(Name and phone
number)

b(6)

Reporting Categories

(Please use actual dollar figures instead of thousands)

1. Personnel Security

(Include clearance program, initial investigations, national agency checks when used for basis for granting a clearance, adjudication, re-investigation, polygraph associated with classification related activities)

\$82,417,429.49

2. Physical Security

(Include physical security equipment, protective forces, intrusion detection and assessment, barrier controls, tamper-safe monitoring, access control/badging, visitor control associated with classification related activities)

\$57,719,397.38

3. Information Security

(only report costs associated with classification related activities)

(a) Classification Management

(Include resources used to identify, control, transfer, transmit, retrieve, inventory, archive, declassify, or destroy classified information)

\$3,609,271.61

(b) Declassification

(Include resources used to identify and process information subject to the automatic, systematic, or mandatory review programs authorized by Executive order or statute)

\$2,489,015.64

(c) Information Systems Security for Classified Information

(Include resources used to protect information systems from unauthorized access or modification of information, and against the denial of service to authorized users, including measures necessary to detect, document, and counter such threats)

\$75,571,993.88

(d) Miscellaneous (OPSEC and TSCM)

(Include personnel and operating expenses associated with these programs)

\$11,260,853.74

4. Professional Education, Training, and Awareness

(Include resources used to establish, maintain, direct, support, and assess an information security training and awareness program; certification and approval of the training program; development, management, and maintenance of training records; training of personnel to perform tasks; and qualification and/or certification of personnel associated with classification related activities)

\$4,151,980.64

5. Security Management, Oversight, and Planning

(Include resources associated with research, test and evaluation; surveys, reviews, accreditation, and assessments; special access programs; security and investigative matters; industrial security, and foreign ownership, control, or influence (FOCI))

\$9,965,792.00

6. Unique Items

(Include department/agency-specific activities not reported in any of the categories listed above but are nonetheless significant and need to be included)

\$2,823,401.00

Total (sum of 1, 2, 3(a), 3(b), 3(c), 3(d), 4, 5, and 6)**\$250,009,135.38****Narrative:** provide a brief explanation of any significance difference between last year's and this year's cost estimates. Explain items entered into Block 6, Unique Items.

See attachment.

Narrative:

- 1. Personnel Security:** The Department of Justice conducts background investigations (BIs) on all employees in sensitive positions. This cost estimate reflects the difference in the cost between the BI required for access to National Security Information (NSI) and the cost of the BI normally required for sensitive positions. The Executive Office for United States Attorneys (EOUSA) reported significant costs for participation in the Justice Security Tracking and Adjudication Record System, a business process application designed to track and monitor personnel security information. The Federal Bureau of Investigation (FBI) and the United States Marshals Service (USMS) reported significant costs in personnel security due to increases in initial applicant and new hire investigations and employee reinvestigations.
- 2. Physical Security:** This estimate includes the cost of building and maintaining Sensitive Compartmented Information Facilities (SCIF), security containers and security systems used to protect NSI. The Criminal Division reported a significant increase in costs for FY 2010 due to the upgrading of their physical access control system. The EOUSA and the National Security Division (NSD) reported a significant increase in costs for FY 2010 due to SCIF constructions and maintenance costs. The FBI reported a significant increase in costs for FY 2010 due to additional funding for the purchase of security equipment, including a system to issue and accept U.S. Intelligence Community badges.
- 3.c Information Security: (Information Systems Security for Classified Information)** This estimate includes the cost for computer equipment and associated personnel expenses incurred to operate the systems used for processing NSI, the cost of secure communications equipment and the associated cost for controlling keying materials. The EOUSA reported significant costs for the deployment and maintenance of SIPRNet and JWICS systems to ninety-nine United States Attorney's Offices. The FBI reported an increase in costs for FY 2010 due to the enhancement of the FBI's information assurance/information security posture, which included the deployment of a Data Loss Prevention System. The vast majority of the increase in the the information technology cost estimate for FY 2010 is due to reforms instituted by the FBI as a result of the Hanssen espionage case, the Webster Commission Report, Wikileaks and other Information Technology activities.
- 3.d Miscellaneous (OPSEC & TSCM):** This estimates includes costs incurred for the development, training, surveys and application of OPSEC and TSCM activities. The FBI reported a significant increase in costs for FY 2010 due to enhancement of TEMPEST activities and the COMSEC program.
- 4. Professional Education, Training and Awareness:** This estimate includes personnel and training materials for NSI access. The NSD increase is due to a continued aggressive training program.
- 5. Security Management, Oversight and Planning:** This includes the estimated cost for personnel to prepare NSI policy documents, monitor compliance with established policy, and collect data and prepare reports required for NSI. The FBI reported a significant decrease in Security Management costs as the funding was realigned to Information Security to fund the above stated activities.

6. Unique Items: This estimate includes personnel and travel costs associated with litigation under the Classified Information Procedures Act and Foreign Intelligence Surveillance Act.



U.S. Department of Justice
Justice Management Division
Security and Emergency Planning Staff

Washington, D.C. 20530

FEB 28 2012

John P. Fitzpatrick
Director
Information Security Oversight Office
National Archives and Records Administration
700 Pennsylvania Avenue, NW, Room 500
Washington, DC 20408

Dear Mr. Fitzpatrick:

Enclosed is the Department of Justice response to the Office of Management and Budget Circular A-11 requirement to provide an estimate of the costs associated with classifying and safeguarding National Security Information. The estimated costs were prepared in accordance with the instructions provided by your letter of November 28, 2011, entitled "Report on Cost Estimates for Security Classification Activities for FY 2011."

If you have any questions regarding this report, please contact [REDACTED] Assistant Director, Office of Information Safeguards and Security Oversight, Security and Emergency Planning Staff, on [REDACTED].

b(6)

Sincerely,

James L. Dunlap
Department Security Officer

Enclosure

AGENCY SECURITY CLASSIFICATION COSTS ESTIMATES

Department/Agency: United States Department of Justice **Fiscal Year:** 2011

Point of Contact: _____
 (Name and phone number) _____

b(6)

Reporting Categories

Please use actual dollar figures.

1. Personnel Security

(include clearance program, initial investigations, national agency checks when used as basis for granting a clearance, adjudication, reinvestigation, polygraph associated with classification-related activities)

\$92,169,741.15

2. Physical Security

(include physical security equipment, protective forces, intrusion detection and assessment, barrier/controls, tamper-safe monitoring, access control/badging, visitor control associated with classification-related activities)

\$54,356,594.29

3. Classification Management

(include resources used to identify, control, transfer, transmit, retrieve, inventory, archive, declassify, or destroy classified information)

\$3,649,528.00

4. Declassification

(include resources used to identify and process information subject to the automatic, systematic, discretionary, or mandatory review programs authorized by Executive Order or Statute)

\$2,503,285.96

5. Protection and Maintenance for Classified Information Systems

(include resources used to protect and maintain classified information systems from unauthorized access or modification of information, and against the denial of service to authorized users, including measures necessary to detect, document, and counter such threats)

\$56,699,291.19

6. Operations Security and Technical Surveillance Countermeasures

(include personnel and operating expenses associated with OPSEC and TSCM)

\$9,386,485.41

7. Professional Education, Training, and Awareness

(include resources used to establish, maintain, direct, support, and assess an information security training and awareness program; certification and approval of the training program; development, management, and maintenance of training records; training of personnel to perform tasks; and qualification and/or certification of personnel associated with classification-related activities)

\$5,200,460.16

8. Security Management, Oversight, and Planning

(include resources associated with research, test, and evaluation; surveys, reviews, accreditation, and assessments; special access programs; security and investigative matters; industrial security; and foreign ownership, control, or influence (FOCI))

\$8,284,886.27

9. Unique Items

(include department/agency-specific activities not reported in any of the categories listed above, but are nonetheless significant and need to be included)

\$3,021,904.17

TOTAL

(sum of items 1-9)

\$235,272,176.60

Narrative: Provide a brief explanation of any significant difference between last year's and this year's cost estimates. Explain items entered into block 9, Unique Items.

See the attached narrative.

1. Personnel Security: The Department of Justice conducts background investigations (BIs) on all employees in sensitive positions. This cost estimate reflects the difference in the cost between the BI required for access to National Security Information (NSI) and the cost of the BI normally required for sensitive positions. The Justice Management Division reported an increase in Initial Investigation and Reinvestigation cost estimates for FY 2011 due to more accurate tracking capability of the Justice Security Tracking and Adjudication Record System (JSTARS), a business process application designed to track and monitor personnel security information. The Bureau of Alcohol, Tobacco, and Firearms and Explosives (ATF) reported a significant increase in personnel security costs for new applicants and reinvestigations. The Criminal Division reported a decrease in cost estimates due to the loss of dedicated contractor processing of National Security positions. The United States Marshals Service (USMS) reported a significant decrease in costs due to the current hiring freeze and the discontinuation of the Federal Career Intern Program (FCIP). The FCIP was used by the USMS in previous years to handle significant increases in the hiring of Deputies and the resultant new hire investigations. The Federal Bureau of Investigation (FBI) reported a significant increase in costs in personnel security due to the volume of new hire investigations.

2. Physical Security: This estimate includes the cost of building and maintaining Sensitive Compartmented Information Facilities (SCIF), security containers and security systems used to protect NSI. Due to the completion of the upgrading of their physical access control system in FY 2010, the Criminal Division reported a decrease in physical security costs. The Executive Office for United States Attorneys (EOUSA) reported an increase in costs for FY 2011 due to SCIF construction and maintenance costs. The National Security Division (NSD) reported a significant decrease in FY 2011 costs due the completion of SCIF construction and Continuity of Operations projects in FY 2010. The FBI reported a significant decrease in FY 2011 costs for due to less funding for the purchase of physical security equipment.

3. Classification Management: This estimate includes costs to identify, control, transfer, transmit, retrieve, inventory, archive and declassify, or destroy Classified NSI in the Department.

4. Declassification: This estimate includes costs for resources to identify and process Classified NSI subject to the automatic, systematic, discretionary or mandatory review programs within the Department.

5. Protection and Maintenance for Classified information Systems: This estimate includes the cost for computer equipment and associated personnel expenses incurred to operate the systems used for processing NSI, the cost of secure communications equipment and the associated cost for controlling keying materials. The ATF reported an increase in FY 2011 costs due the purchase of SIPRNet and JWICS systems and Secure Terminal Equipment. Due to a one-time purchase of resources in FY 2010 to develop the Insider Threat Detection capability, the Drug Enforcement Administration (DEA) reported a significant decrease of costs in FY 2011. The EOUSA reported increased costs for the deployment and maintenance of SIPRNet and JWICS systems to ninety-nine United States Attorney's Offices. The NSD reported a significant increase in FY 2011 costs due to upgrade costs and increased information technology infrastructure services. The USMS reported an increase in FY 2011 costs due to an upgrade TACLINES for their Information Technology

systems. The FBI reported a significant decrease in costs for FY 2011 due to one-time equipment, contract costs and deployment costs for Data Loss Prevention System equipment and COMSEC Operations that occurred in FY 2010. Specifically, the FBI used the one-time FY 2010 funding for increased/ improved Data Loss Prevention efforts. This included purchasing Information Technology hardware and software to improve monitoring of online systems data access and usage while preventing unauthorized data migration from one system to another (i.e., prevent unauthorized data migration from government to non-government systems and/or from classified to non-classified systems.)

6. Miscellaneous (OPSEC & TSCM): This estimate includes costs incurred for the development, training, surveys and application of OPSEC and TSCM activities. The DEA reported a decrease in FY 2011 costs based on appropriation funding for OPSEC and TSCM activities. The FBI reported a significant decrease in costs for OPSEC due to the decrease of OPSEC-related contract scope/obligations caused by delays in transitioning from one contract to the next follow-on contract; by delays in identifying and defining OPSEC requirements/threats as precisely as desired; and thereby delaying establishing a plan of action and milestones for identifying and testing appropriate solutions and countermeasures resulting in the de-scoping of the FY 2011 contract.

7. Professional Education, Training and Awareness: This estimate includes personnel and training materials for NSI access. The EOUSA reported an increase of costs for FY 2011 due to FY 2011 contractor costs for the development of multi-media training for NSI. In FY 2010 the USMS provided Component-wide training for USMS employees regarding security matters and reported a decrease in costs for FY 2011 due to a lack of funding for similar training. The FBI reported an increase in FY 2011 costs due to training conferences and a correction to FY 2010 reporting of \$800K of Security Awareness costs as costs for Security Management, Oversight and Planning.

8. Security Management, Oversight and Planning: This includes the estimated cost for personnel to prepare NSI policy documents, monitor compliance with established policy, and collect data and prepare reports required for NSI. The DEA reported an increase in Security Management costs for FY 2011 due to a major government-wide initiative for the assessment/reassessment of National Security Systems. The FBI reported a significant decrease in Security Management costs as the funding of \$800K was realigned to Professional Education, Training and Awareness to fund the above stated activities and price savings for Security Management contracts and reduced costs from contractor to federal employee conversions in FY 2011.

9. Unique Items: This estimate includes personnel and travel costs associated with litigation under the Classified Information Procedures Act and Foreign Intelligence Surveillance Act.



U.S. Department of Justice

Justice Management Division

Security and Emergency Planning Staff

Washington, D.C. 20530

FEB 28 2013

John P. Fitzpatrick
Director
Information Security Oversight Office
National Archives and Records Administration
700 Pennsylvania Avenue, NW, Room 500
Washington, DC 20408

Dear Mr. Fitzpatrick:

Enclosed is the Department of Justice response to the Office of Management and Budget Circular A-11 requirement to provide an estimate of the costs associated with classifying and safeguarding National Security Information. The estimated costs were prepared in accordance with the instructions provided by your letter, dated November 14, 2012, entitled "Report on Cost Estimates for Security Classification Activities for FY 2012."

If you have any questions regarding this report, please contact [REDACTED], Assistant Director, Office of Information Safeguards and Security Oversight, Security and Emergency Planning Staff, on [REDACTED].

b(6)

Sincerely,

James L. Dunlap
Department Security Officer

Enclosures

AGENCY SECURITY CLASSIFICATION COSTS ESTIMATES

Department/Agency: United States Department of Justice	Fiscal Year: 2012
---------------------------------------------------------------	--------------------------

Point of Contact: (Name and phone number) [REDACTED]	b(6)
----------------------------------------------------------------	------

Reporting Categories

Please use actual dollar figures.

1. Personnel Security

(include clearance program, initial investigations, national agency checks when used as basis for granting a clearance, adjudication, reinvestigation, polygraph associated with classification-related activities)

\$73,053,297.90

2. Physical Security

(include physical security equipment, protective forces, intrusion detection and assessment, barrier/controls, tamper-safe monitoring, access control/badging, visitor control associated with classification-related activities)

\$51,048,915.20

3. Classification Management

(include resources used to identify, control, transfer, transmit, retrieve, inventory, archive, declassify, or destroy classified information)

\$4,379,795.99

4. Declassification

(include resources used to identify and process information subject to the automatic, systematic, discretionary, or mandatory review programs authorized by Executive Order or Statute)

\$2,339,508.28

5. Protection and Maintenance for Classified Information Systems

(include resources used to protect and maintain classified information systems from unauthorized access or modification of information, and against the denial of service to authorized users, including measures necessary to detect, document, and counter such threats)

\$75,418,565.01

6. Operations Security and Technical Surveillance Countermeasures

(include personnel and operating expenses associated with OPSEC and TSCM)

\$9,048,054.66

7. Professional Education, Training, and Awareness

(include resources used to establish, maintain, direct, support, and assess an information security training and awareness program; certification and approval of the training program; development, management, and maintenance of training records; training of personnel to perform tasks; and qualification and/or certification of personnel associated with classification-related activities)

\$5,613,590.42

8. Security Management, Oversight, and Planning

(include resources associated with research, test, and evaluation; surveys, reviews, accreditation, and assessments; special access programs; security and investigative matters; industrial security; and foreign ownership, control, or influence (FOCI))

\$6,965,649.52

9. Unique Items

(include department/agency-specific activities not reported in any of the categories listed above, but are nonetheless significant and need to be included)

\$3,731,691.00

TOTAL

(sum of items 1-9)

\$231,599,067.98

Narrative: Provide a brief explanation of any significant difference between last year's and this year's cost estimates. Explain items entered into block 9, Unique Items.

Please reference the attached narrative.

Narrative for Fiscal (FY) 2012

The Department of Justice (DOJ) is reporting a total of \$231,599,067.98 in security costs for fiscal year 2012 (FY12). This is a \$3,673,108.62 (approximately 1.5%) decrease from fiscal year 2011 (FY11) reported costs. The subsequent narratives summarize DOJ and DOJ component responses for each of the related security categories.

1. Personnel Security:

DOJ is reporting a \$19,116,443.25 (approximately 21%) decrease in personnel security costs from the previous fiscal year. Several DOJ components reported an increase in the number of FY12 personnel security investigations and periodic reinvestigations which resulted in an increase of personnel security related costs. These increases were marginally offset by the closure of the National Drug Intelligence Center (NDIC), and a like amount of components reporting decreased personal security related costs. However, the principal reason for the decrease is due to component realignment of full time equivalents (FTEs), and non-personnel funding adjustments, directed toward critical requirements for the protection and maintenance of classified information systems.

2. Physical Security:

DOJ reported is reporting a \$3,307,679.09 (approximately 6%) decrease in physical security costs from the previous fiscal year. Only one DOJ component reported a cost increase which was associated with contractor support services and guard services. Several components reported a decrease in physical security costs from those reported in FY11. This was due to a decrease in the number of Sensitive Compartmented Information Facilities, and their respective accreditation activities. Additional reductions included the closing of NDIC, fewer safe purchases, conversion of contractor services to government FTEs, and the transfer of funds from physical security programs to communications, and other operational activities.

3. Classification Management:

DOJ is reporting a \$730,267.99 (approximately 20%) increase in Classification Management expenses from the previous fiscal year. While one component reported a slight decrease, higher totals, as reported by two components, were the result of increased FY12 funding allotments, and the inclusion of reimbursable agreement support costs linked to FY12 classification management activities.

4. Declassification:

DOJ is reporting a \$163,777.68 (approximately 6.5%) decrease in Declassification costs from the previous fiscal year. Three components reported non-specific decreases in declassification costs, with the remainder of components reporting no changes or only nominal increases.

Narrative for Fiscal (FY) 2012

5. Protection and Maintenance of Classified Information Systems:

DOJ is reporting an \$18,719,273.82 (approximately 33%) increase in Protection and Maintenance of Classified Information Systems costs from the previous fiscal year. In addition to the cost reduction from the closing of NDIC, two other components reported decreases in FY12. These decreases resulted from a FY12 reduction in mandated security assessments of classified information systems involving DOJ WikiLeaks activities, and the continuation of network encryption upgrades. The majority of these upgrades were completed in FY11 which resulted in a net cost decrease in related encryption equipment purchases in FY12.

The remainder of the components reported increases that included systems upgrade and maintenance, as well as, new technology acquisition, installation, and deployment of Insider Threat Detection Technology. However, as referenced in the Personnel Security section, the principal cost increase was due to component realignment of full time equivalents (FTEs), and non-personnel funding adjustments, that were directed toward critical requirements for the protection and maintenance of classified information systems; and the FY12 realignment of certification and accreditation functions from the FY11 Operations Security and Technical Surveillance Countermeasures category.

6. OPSEC TSCM:

DOJ is reporting a \$338,430.75 (approximately 3.6%) decrease in Operations Security and Technical Security Countermeasures costs from the previous fiscal year. Except for one component reporting a slight increase based upon actual travel costs for operational TSCM expenses, the remainder of components reported either an equivalent cost, or a cost decrease. The reasons for decreased costs included appropriations funding, and as referenced in the previous section, the realignment of certification and accreditation functions from the OPSEC TSCM category to the protection and maintenance of classified information systems.

7. Education and Training:

DOJ is reporting a \$413,130.26 (approximately 8%) increase in Professional Education, Training, and Awareness costs from the previous fiscal year. In addition to the cost reduction from the closing of NDIC, two components reported only a slight decrease in overall education, training, and awareness costs, and one component reported a decrease due to contractor support services. The remaining components reported increases for "Insider Threat" training, as well as, contract related costs for development and revision of web based courses in information security and awareness, and IT Vulnerabilities.

8. Security Management, Oversight, and Planning:

DOJ is reporting a \$1,319,236.75 (approximately 16%) decrease in Security Management, Oversight, and Planning costs from the previous fiscal year. One component reported an increase

Narrative for Fiscal (FY) 2012

in costs associated with increased information security assessments due to post Wikileaks activity, and cyclical certification and continuous monitoring of national security activities. Two components reported only a slight decrease in overall Security Management, Oversight, and Planning costs, the remainder of components noted decreased cost estimates for reasons including the use of classification management tools, and the elimination of contractor services.

9. Unique Items:

DOJ is reporting a \$709,786.83 (approximately 23.5%) increase in Unique Items costs from the previous fiscal year. Component reported costs include increases in classified litigation pursuant to the Classified Information Procedures Act, security assistance to the courts, and reimbursable agreement expenditures.



U.S. Department of Justice

Justice Management Division

Security and Emergency Planning Staff

Washington, D.C. 20530

FEB 24 2014

John P. Fitzpatrick
Director
Information Security Oversight Office
National Archives and Records Administration
700 Pennsylvania Avenue, NW, Room 500
Washington, DC 20408

Dear Mr. Fitzpatrick:

Enclosed is the Department of Justice response to the Office of Management and Budget Circular A-11 requirement to provide an estimate of the costs associated with classifying and safeguarding National Security Information. The estimated costs were prepared in accordance with the instructions provided by your letter, dated November 18, 2013, entitled "Report on Cost Estimates for Security Classification Activities for FY 2013."

Should you have any questions regarding this report, please contact [REDACTED], Assistant Director, Office of Information Safeguards and Security Oversight, Security and Emergency Planning Staff, on [REDACTED].

b(6)

Sincerely,

James L. Dunlap

Department Security Officer

Enclosures

AGENCY SECURITY CLASSIFICATION COSTS ESTIMATES

Department/Agency: United States Department of Justice	Fiscal Year: 2013
--------------------------------------------------------	-------------------

Point of Contact: (Name and phone number) [REDACTED]	b(6)
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Reporting Categories

Please use actual dollar figures.

1. Personnel Security

(include clearance program, initial investigations, national agency checks when used as basis for granting a clearance, adjudication, reinvestigation, polygraph associated with classification-related activities)

\$72,503,847.30

2. Physical Security

(include physical security equipment, protective forces, intrusion detection and assessment, barrier/controls, tamper-safe monitoring, access control/badging, visitor control associated with classification-related activities)

\$51,510,217.76

3. Classification Management

(include resources used to identify, control, transfer, transmit, retrieve, inventory, archive, declassify, or destroy classified information)

\$3,712,381.41

4. Declassification

(include resources used to identify and process information subject to the automatic, systematic, discretionary, or mandatory review programs authorized by Executive Order or Statute)

\$2,399,578.85

5. Protection and Maintenance for Classified Information Systems

(include resources used to protect and maintain classified information systems from unauthorized access or modification of information, and against the denial of service to authorized users, including measures necessary to detect, document, and counter such threats)

\$65,673,475.14

6. Operations Security and Technical Surveillance Countermeasures

(include personnel and operating expenses associated with OPSEC and TSCM)

\$5,027,932.14

7. Professional Education, Training, and Awareness

(include resources used to establish, maintain, direct, support, and assess an information security training and awareness program; certification and approval of the training program; development, management, and maintenance of training records; training of personnel to perform tasks; and qualification and/or certification of personnel associated with classification-related activities)

\$3,156,753.66

8. Security Management, Oversight, and Planning

(include resources associated with research, test, and evaluation; surveys, reviews, accreditation, and assessments; special access programs; security and investigative matters; industrial security; and foreign ownership, control, or influence (FOCI))

\$5,939,244.64

9. Unique Items

(include department/agency-specific activities not reported in any of the categories listed above, but are nonetheless significant and need to be included)

\$3,931,551.00

TOTAL

(sum of items 1-9)

\$213,854,981.90

Narrative: Provide a brief explanation of any significant difference between last year's and this year's cost estimates. Explain items entered into block 9, Unique Items.

See the attached Narrative.

Narrative for Fiscal (FY) 2013

The Department of Justice (DOJ) is reporting a total of \$213,854,981.90 in security costs for fiscal year 2013 (FY13). This is a \$17,744,086.08 (approximately 7.6%) decrease from fiscal year 2012 (FY12) reported costs. This reduction is primarily attributed to the spending restrictions under sequestration which required across-the-board reductions to most Federal Government accounts. In the security categories that had significant overall cost decreases, with emphasis upon categories 6 and 7, components cited sequestration as a cause for FY 13 decreases from those reported in FY 12. As such, we have chosen to include sequestration only once in this introduction and have excluded it as a line item from those categories with significant cost decreases from the previously fiscal year. The following narratives summarize DOJ and DOJ component responses for each related security category. In addition to this narrative, we have attached the cost estimate comparison data and associated charts for FY 12 - FY 13.

1. Personnel Security:

DOJ is reporting a \$549,450.60 (0.75%) decrease in personnel security costs from the previous fiscal year. No significant difference from FY12.

2. Physical Security:

DOJ is reporting a \$461,302.56 (approximately 1%) increase in physical security costs from the previous fiscal year. No significant difference from FY12.

3. Classification Management:

DOJ is reporting a \$667,414.58 (approximately 15%) decrease in Classification Management expenses from the previous fiscal year. The bulk of the FY 13 decrease is due to the reported completion of a component Classification Management project. Other component reporting was split between those with cost increases ranging from 10% to 20% over FY12, and those with decreased costs ranging from 2% to 23% over FY12.

4. Declassification:

DOJ is reporting a \$60,070.57 (approximately 2.6%) increase in Declassification costs from the previous fiscal year. No significant difference from FY12.

5. Protection and Maintenance of Classified Information Systems:

DOJ is reporting a \$9,745,089.87 (approximately 13%) decrease in Protection and Maintenance of Classified Information Systems costs from the previous fiscal year. Four components reported cost increases which included the operation and maintenance of NSI systems, and the upgrade of secure communications equipment. The remainder of the components reported decreases

Narrative for Fiscal (FY) 2013

including initial FY12 Information Assurance funding that did not occur in FY13, and FY 12 network refresh costs that are not expected to reoccur for the next three to five years.

6. OPSEC TSCM:

DOJ is reporting a \$4,020,122.52 (approximately 44%) decrease in Operations Security and Technical Security Countermeasures costs from the previous fiscal year. Except for one component reporting a very slight increase in costs, the remaining components reported either an equivalent cost, or a cost decrease. Three components reported a significant decrease in OPSEC TSCM related costs.

7. Education and Training:

DOJ is reporting a \$2,456,836.76 (approximately 44%) decrease in Professional Education, Training, and Awareness costs from the previous fiscal year. Two components reported an increase in Professional Education, Training, and Awareness costs related to insider threat training, and efforts to obtain 100% security training compliance. However the remaining components reported significant training decreases which resulted in the 44% reduction for FY 13. Training decreases included, internal training, reductions for career development, and conferences.

8. Security Management, Oversight, and Planning:

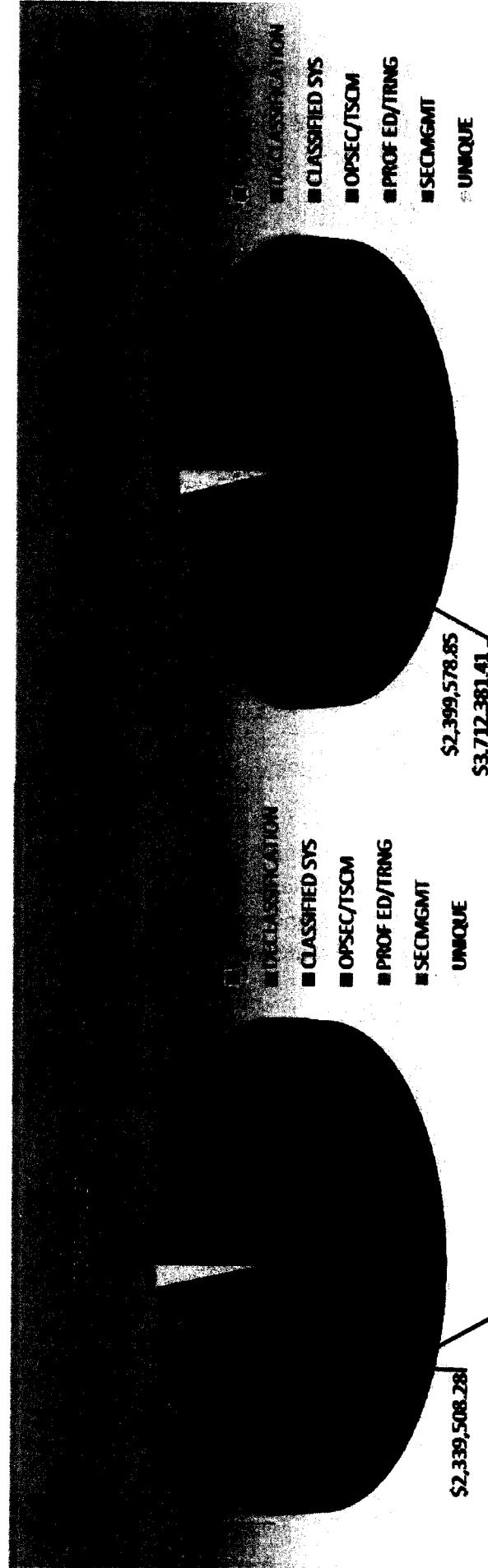
DOJ is reporting a \$1,026,404.88 (approximately 15%) decrease in Security Management, Oversight, and Planning costs from the previous fiscal year. Only one component reported FY 13 costs consistent with those reported in FY 12. The remaining components reported cost decreases due to staffing adjustments, including reduction in the number of Regional Security Specialists and Information Technology (IT) security FTE's.

9. Unique Items:

DOJ is reporting a \$199,860 (approximately 5%) increase in Unique Items costs from the previous fiscal year. Component reported costs include increases related reimbursable agreements and to the protection of classified information during civil proceeding and criminal litigation pursuant to the Classified Information Procedures Act (CIPA), as well as security assistance to the Courts for the Guantanamo Bay detainee cases.

Department of Justice Security Cost Estimates

FY 2012 DOJ SECURITY COST ESTIMATES TOTALS		FY 2013 DOJ SECURITY COST ESTIMATES TOTALS	Difference	% Change
Personnel Security	73,053,297.90	72,503,847.30	(549,450.60)	-0.75
Physical Security	51,048,915.20	51,510,211.76	461,302.56	0.90
Classification Management	4,379,795.99	3,712,381.41	(667,414.58)	-15.24
Declassification	2,339,508.28	2,399,578.85	60,070.57	2.57
Classified Information Systems	75,418,565.01	65,673,475.14	(9,745,089.87)	-12.92
OPSEC/TSCM	9,048,054.66	5,027,932.14	(4,020,122.52)	-44.43
Education, Awareness, Training	5,613,590.42	3,156,753.66	(2,456,836.76)	-43.77
Management, Oversight, Planning	6,965,649.52	5,939,244.64	(1,026,404.88)	-14.74
Unique Items	3,731,691.00	3,931,551.00	199,860.00	5.36
Calculated Total	231,599,067.98	213,854,981.90	(17,744,086.08)	-7.66



Fiscal Year 2012

Fiscal Year 2013



U.S. Department of Justice

Justice Management Division

Security and Emergency Planning Staff

Washington, D.C. 20530

FEB 26 2015

John P. Fitzpatrick
Director
Information Security Oversight Office
National Archives and Records Administration
700 Pennsylvania Avenue, NW, Room 500
Washington, DC 20408

Mr. Fitzpatrick:

Enclosed is the Department of Justice response to the Office of Management and Budget Circular A-11 requirement to provide an estimate of the costs associated with classifying and safeguarding National Security Information. The estimated costs were prepared in accordance with the instructions provided by your letter, dated December 1, 2014, entitled "Report on Cost Estimates for Security Classification Activities for FY 2014."

Should you have any questions regarding this report, please contact [REDACTED], Assistant Director, Office of Information Safeguards and Security Oversight, Security and Emergency Planning Staff, on [REDACTED].

b(6)

Sincerely,

James I. Dunlap

Department Security Officer

Enclosures

AGENCY SECURITY CLASSIFICATION COSTS ESTIMATES

Department/Agency: United States Department of Justice **Fiscal Year:** 2014

Point of Contact:
(Name and phone number) [REDACTED]

b(6)

Reporting Categories

Please use actual dollar figures.

1. Personnel Security

(include clearance program, initial investigations, national agency checks when used as basis for granting a clearance, adjudication, reinvestigation, polygraph associated with classification-related activities)

\$80,672,763.32

2. Physical Security

(include physical security equipment, protective forces, intrusion detection and assessment, barrier/controls, tamper-safe monitoring, access control/badging, visitor control associated with classification-related activities)

\$53,114,311.08

3. Classification Management

(include resources used to identify, control, transfer, transmit, retrieve, inventory, archive, declassify, or destroy classified information)

\$3,612,355.69

4. Declassification

(include resources used to identify and process information subject to the automatic, systematic, discretionary, or mandatory review programs authorized by Executive Order or Statute)

\$2,364,699.03

5. Protection and Maintenance for Classified Information Systems

(include resources used to protect and maintain classified information systems from unauthorized access or modification of information, and against the denial of service to authorized users, including measures necessary to detect, document, and counter such threats)

\$55,109,112.04

6. Operations Security and Technical Surveillance Countermeasures

(include personnel and operating expenses associated with OPSEC and TSCM)

\$5,172,082.27

7. Professional Education, Training, and Awareness

(include resources used to establish, maintain, direct, support, and assess an information security training and awareness program; certification and approval of the training program; development, management, and maintenance of training records; training of personnel to perform tasks; and qualification and/or certification of personnel associated with classification-related activities)

\$3,319,273.90

8. Security Management, Oversight, and Planning

(include resources associated with research, test, and evaluation; surveys, reviews, accreditation, and assessments; special access programs; security and investigative matters; industrial security; and foreign ownership, control, or influence (FOCI))

\$7,980,307.47

9. Unique Items

(include department/agency-specific activities not reported in any of the categories listed above, but are nonetheless significant and need to be included)

\$3,087,985.65

TOTAL

(sum of items 1-9)

\$214,432,890.45

Narrative: Provide a brief explanation of any significant difference between last year's and this year's cost estimates. Explain items entered into block 9, Unique Items.

See the attached Narrative.

Narrative for Fiscal (FY) 2014

The Department of Justice (DOJ) is reporting a total of \$214,432,890.45 in security costs for fiscal year 2014 (FY14). This is a \$577,908.55 (a 0.27%) increase from fiscal year 2013 (FY13) reported costs. The following narratives summarize DOJ and DOJ component responses for related security categories with significant differences between last year's and this year's cost estimates. In addition to this narrative, we have attached cost estimate comparison data and related charts for FY 13 - FY 14.

1. Personnel Security:

DOJ is reporting an \$8,168,916.02 (approximately 11%) increase in personnel security costs from the previous fiscal year. The increase is attributed to the lifting of a Department-wide hiring freeze resulting in the ability to fill critical vacancies, and resumption of the hiring process for federal agents, prosecutors, analysts, and the other required staff.

2. Physical Security:

DOJ is reporting a \$1,604,093.32 (approximately 3%) increase in physical security costs from the previous fiscal year. No significant difference from FY13. Reported costs were associated with CCTV upgrades, Sensitive Compartmented Facility (SCIF) operation and accreditation, and other operational activities.

3. Classification Management:

DOJ is reporting a \$100,025.72 (approximately 3%) decrease in Classification Management expenses from the previous fiscal year. No significant difference from FY13.

4. Declassification:

DOJ is reporting a \$34,879.82 (approximately 1%) decrease in Declassification costs from the previous fiscal year. No significant difference from FY13.

5. Protection and Maintenance of Classified Information Systems:

DOJ is reporting a \$10,564,363.10 (approximately 16%) decrease in the Protection and Maintenance of Classified Information Systems costs from the previous fiscal year. Reasons for the decrease include an overall component budget cut during a spend plan build process, additional resources transferred to meet a component funding realignment, and personnel cost decreases due to approved employee retirement buyouts and personnel reassignments. Several components reported moderate cost increases associated with routine replacement of aging systems equipment, operation and maintenance of existing NSI systems, and replacement of secure communications devices.

Narrative for Fiscal (FY) 2014

6. OPSEC TSCM:

DOJ is reporting a \$144,150.13 (approximately 3%) increase in Operations Security and Technical Security Countermeasures costs from the previous fiscal year. No significant difference from FY13.

7. Education and Training:

DOJ is reporting a \$162,520.24 (approximately 5%) increase in Professional Education, Training and Awareness costs from the previous fiscal year. One component reported significant cost increases related to software updates and associated FTE/contractor development and testing of information security courses and insider threat training. The remaining components were evenly split with either slight to moderate cost increases or cost decreases for Profession Education Training and Awareness.

8. Security Management, Oversight, and Planning:

DOJ is reporting a \$2,041,062.83 (approximately 34%) increase in Security Management, Oversight, and Planning costs from the previous fiscal year. While one component reported a FY 14 cost decrease, the remaining components reported slight to moderate cost increases. Overall cost increases were due primarily to a funding realignment from other categories to support a new centralized office for the component's Security Division.

9. Unique Items:

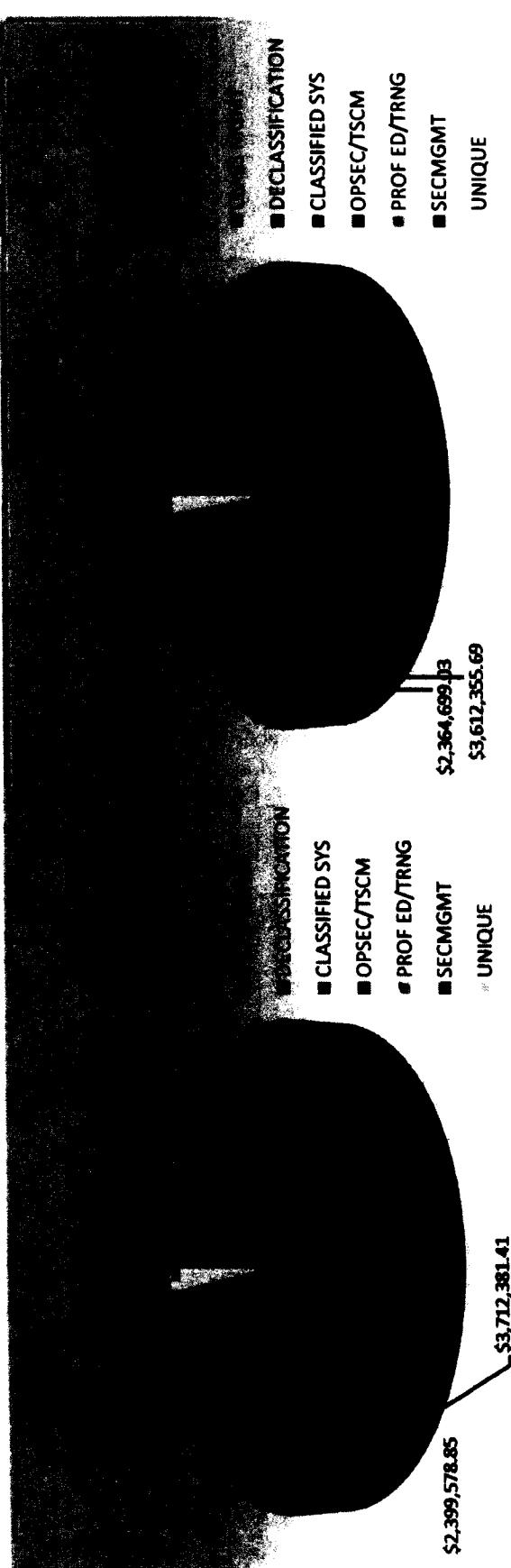
DOJ is reporting an \$843,565.35 (approximately 21%) decrease in Unique Items costs from the previous fiscal year. Components reported cost decreases attributed to reimbursable agreements and associated with classified litigation, including the protection of classified information during civil proceeding and criminal litigation pursuant to the Classified Information Procedures Act (CIPA), as well as security assistance to the Courts for the Guantanamo Bay detainee cases.

Department of Justice Security Cost Estimates

FY 2013 DOJ SECURITY COST ESTIMATES TOTALS	
Personnel Security	72,503,847.30
Physical Security	51,510,217.76
Classification Management	3,712,381.41
Declassification	2,399,578.85
Classified Information Systems	65,673,475.14
OPSEC TSCM	5,027,932.14
Education, Awareness, Training	3,156,753.66
Management, Oversight, Planning	5,939,244.64
Unique Items	3,931,551.00
Calculated Total	213,854,981.90

FY 2014 DOJ SECURITY COST ESTIMATES TOTALS	
Personnel Security	80,672,763.32
Physical Security	53,114,311.08
Classification Management	3,612,355.69
Declassification	2,364,699.03
Classified Information Systems	55,109,112.04
OPSEC TSCM	5,172,082.27
Education, Awareness, Training	3,319,273.90
Management, Oversight, Planning	7,980,307.47
Unique Items	3,087,985.65
Calculated Total	214,432,890.45

	Difference	% Change
Personnel Security	\$ 8,168,916.02	11.27
Physical Security	\$ 1,604,093.32	3.11
Classification Management	\$ (100,025.72)	-2.69
Declassification	\$ (34,879.82)	-1.45
Classified Information Systems	\$ (10,564,363.10)	-16.09
OPSEC TSCM	\$ 144,150.13	2.87
Education, Awareness, Training	\$ 162,520.24	5.15
Management, Oversight, Planning	\$ 2,041,062.83	34.37
Unique Items	\$ (843,565.35)	-21.46
Calculated Total	\$ 577,908.55	0.27



DOJ FY2013 Security Cost Estimates

DOJ FY2014 Security Cost Estimates



U.S. Department of Justice

Justice Management Division

Security and Emergency Planning Staff

Washington, D.C. 20530

FEB 26 2016

Mr. William A. Cira
Acting Director
Information Security Oversight Office
National Archives and Records Administration
700 Pennsylvania Avenue, NW, Room 502E
Washington, DC 20408

Mr. Cira:

Enclosed is the Department of Justice response to the Office of Management and Budget Circular A-11 requirement to provide an estimate of the costs associated with classifying and safeguarding National Security Information. The estimated costs were prepared in accordance with the instructions provided in Mr. Fitzpatrick's letter, dated December 4, 2015, entitled "Report on Cost Estimates for Security Classification Activities for FY 2015."

Should you have any questions regarding this report, please contact Mr. [REDACTED]
Assistant Director, Office of Information Safeguards and Security Oversight, Security and
Emergency Planning Staff, on [REDACTED]

b(6)

Sincerely,

James L. Dunlap
Department Security Officer

Enclosures

AGENCY SECURITY CLASSIFICATION COSTS ESTIMATES

Department/Agency: United States Department of Justice	Fiscal Year: 2015
Point of Contact: (Name and phone number) [REDACTED]	b(6)
Reporting Categories	
Please use actual dollar figures.	
1. Personnel Security <i>(include clearance program, initial investigations, national agency checks when used as basis for granting a clearance, adjudication, reinvestigation, polygraph associated with classification-related activities)</i>	\$105,477,464.44
2. Physical Security <i>(include physical security equipment, protective forces, intrusion detection and assessment, barrier/controls, tamper-safe monitoring, access control/badging, visitor control associated with classification-related activities)</i>	\$53,706,823.89
3. Classification Management <i>(include resources used to identify, control, transfer, transmit, retrieve, inventory, archive, declassify, or destroy classified information)</i>	\$3,284,509.13
4. Declassification <i>(include resources used to identify and process information subject to the automatic, systematic, discretionary, or mandatory review programs authorized by Executive Order or Statute)</i>	\$2,154,986.76
5. Protection and Maintenance for Classified Information Systems <i>(include resources used to protect and maintain classified information systems from unauthorized access or modification of information, and against the denial of service to authorized users, including measures necessary to detect, document, and counter such threats)</i>	\$70,721,250.46
6. Operations Security and Technical Surveillance Countermeasures <i>(include personnel and operating expenses associated with OPSEC and TSCM)</i>	\$6,209,997.58
7. Professional Education, Training, and Awareness <i>(include resources used to establish, maintain, direct, support, and assess an information security training and awareness program; certification and approval of the training program; development, management, and maintenance of training records; training of personnel to perform tasks; and qualification and/or certification of personnel associated with classification-related activities)</i>	\$2,859,982.39
8. Security Management, Oversight, and Planning <i>(include resources associated with research, test, and evaluation; surveys, reviews, accreditation, and assessments; special access programs; security and investigative matters; industrial security; and foreign ownership, control, or influence (FOCI))</i>	\$10,270,158.58
9. Unique Items <i>(include department/agency-specific activities not reported in any of the categories listed above, but are nonetheless significant and need to be included)</i>	\$1,984,198.94
TOTAL <i>(sum of items 1-9)</i>	\$256,669,372.17
Narrative: Provide a brief explanation of any significant difference between last year's and this year's cost estimates. Explain items entered into block 9, Unique Items. Per Standard Form 716 instructions, narrative is provided as a separate attachment.	

Narrative for Fiscal (FY) 2015

The Department of Justice (DOJ) is reporting a total of \$256,669,372.17 in security costs for fiscal year 2015 (FY15). This is a \$42,236,481.72 (approximately 20%) increase from fiscal year 2014 (FY14) reported costs. The following narratives summarize DOJ responses for related security categories with significant differences between FY 14 and this FY 15 cost estimates. In addition to this narrative, we have attached cost estimate comparison data and related charts.

1. Personnel Security:

DOJ is reporting a \$24,804,701.12 (approximately 31%) increase in personnel security costs from the previous fiscal year. The increase is in part attributed to a reexamination of the 51% resource reporting threshold resulting in costs being reported for FY15 that were not included in previous submissions. In addition, components reported increases in personnel security related costs as a result of continuing hiring initiatives, efforts to reduce backlogs of overdue reinvestigations and reference both OPM price increases and retroactive price increases announced in FY15.

2. Physical Security:

DOJ is reporting a \$592,512.81 (approximately 1%) increase in physical security costs from the previous fiscal year. No significant difference from FY14.

3. Classification Management:

DOJ is reporting a \$327,846.56 (approximately 9%) decrease in Classification Management expenses from the previous fiscal year. No significant difference from FY14.

4. Declassification:

DOJ is reporting a \$209,712.27 (approximately 9%) decrease in Declassification costs from the previous fiscal year. No significant difference from FY14.

5. Protection and Maintenance of Classified Information Systems:

DOJ is reporting a \$15,612,138.42 (approximately 28%) increase in the Protection and Maintenance of Classified Information Systems costs from the previous fiscal year. The increase in part is attributed to a reexamination of the 51% resource reporting threshold resulting in costs being reported for FY15 that were not included in previous submissions. Other cost increases cited by components include new equipment purchases, recurring costs for primary and secondary classified communication and messaging systems, expanded video teleconferencing efforts, and an increase in hardware lifecycle costs, network access fees and extended maintenance and service agreements.

Narrative for Fiscal (FY) 2015

6. OPSEC TSCM:

DOJ is reporting a \$1,037,915.31 (approximately 20%) increase in Operations Security and Technical Security Countermeasures costs from the previous fiscal year. One component reported a significant increase in costs for security upgrades, operational requirements and cross domain enhancements. The remaining components reported slight to moderate cost differentials from FY14.

7. Education and Training:

DOJ is reporting a \$459,291.51 (approximately 14%) decrease in Professional Education, Training and Awareness costs from the previous fiscal year. The majority of components reported a slight to moderate increase in training related costs, however two components reported significant cost decreases. Reasons cited include a decrease in man-hours to design, develop and implement curricula for training programs, and a FY15 realignment of personnel from the Professional Education, Training and Awareness category to the Security Management, Oversight, and Planning category.

8. Security Management, Oversight, and Planning:

DOJ is reporting a \$2,289,851.11 (approximately 29%) increase in Security Management, Oversight, and Planning costs from the previous fiscal year. The majority of components reported cost increases for FY 15. Explanations for the increases include additional administrative security management costs associated with oversight activities, increased site surveys and accreditations, and a FY15 realignment of personnel to the Security Management, Oversight, and Planning category from the Professional Education, Training and Awareness category.

9. Unique Items:

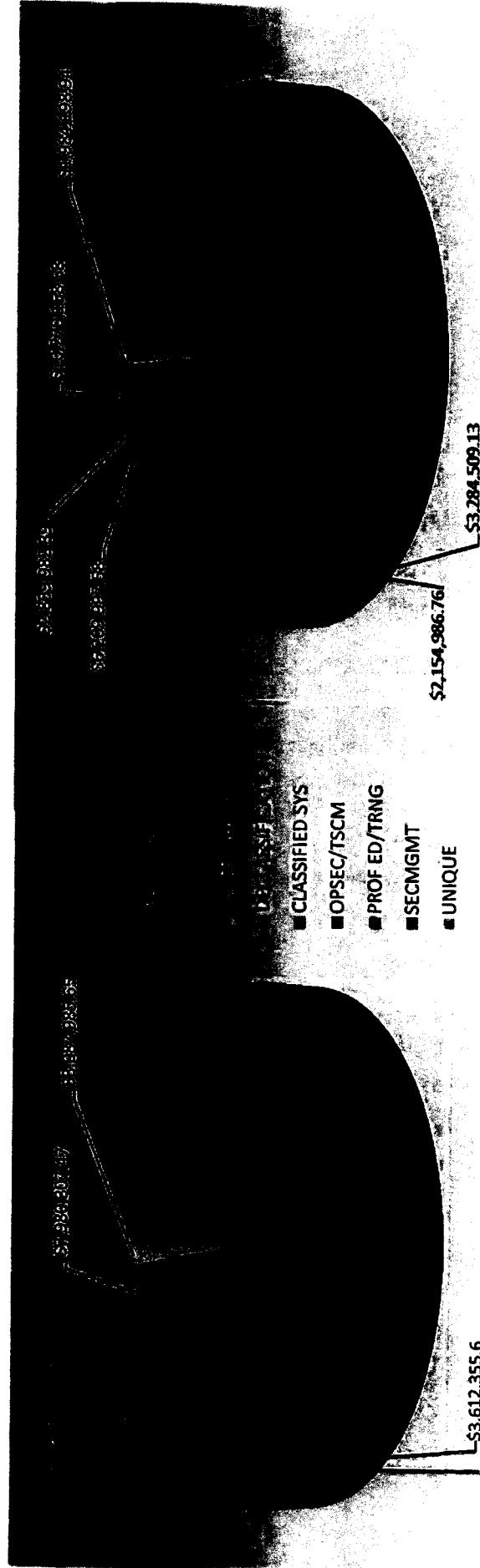
DOJ is reporting a \$1,103,786.71 (approximately 36%) decrease in Unique Items costs from the previous fiscal year. Cost reductions were attributed to the completion of a FY14 security related project along with cost adjustments dedicated to the protection of classified information during civil proceeding and criminal litigation pursuant to the Classified Information Procedures Act (CIPA), as well as security assistance to the Courts for the Guantanamo Bay detainee cases.

Department of Justice Security Cost Estimates

FY 2014 DOJ SECURITY COST ESTIMATES TOTALS	
Personnel Security	\$ 80,672,763.32
Physical Security	\$ 53,114,311.08
Classification Management	\$ 3,612,355.69
Declassification	\$ 2,364,699.03
Classified Information Systems	\$ 55,109,112.04
OPSEC TSCM	\$ 5,172,082.27
Education, Awareness, Training	\$ 3,319,273.90
Management, Oversight, Planning	\$ 7,980,307.47
Unique Items	\$ 3,087,985.65
Calculated Total	\$ 214,432,890.45

FY 2015 DOJ SECURITY COST ESTIMATES TOTALS	
Personnel Security	\$ 105,477,464.44
Physical Security	\$ 53,706,823.89
Classification Management	\$ 3,284,509.13
Declassification	\$ 2,154,986.76
Classified Information Systems	\$ 70,721,250.46
OPSEC TSCM	\$ 6,209,997.58
Education, Awareness, Training	\$ 2,859,982.39
Management, Oversight, Planning	\$ 10,270,158.58
Unique Items	\$ 1,984,198.94
Calculated Total	\$ 256,669,372.17

	Difference	% Change
Personnel Security	\$ 24,804,701.12	30.75
Physical Security	\$ 592,512.81	1.12



9 \$2,364,699.03

8 \$3,612,355.6

\$3,284,509.13

\$2,154,986.76

■ CLASSIFIED SYS
■ OPSEC/TSCM
■ PROF ED/TRNG
■ SEC MGMT
■ UNIQUE

FY2014 Security Cost Estimates

FY2015 Security Cost Estimates



U.S. Department of Justice

Justice Management Division

Security and Emergency Planning Staff

Washington, D.C. 20530

FEB 27 2017

Mr. Mark A. Bradley
Director
Information Security Oversight Office
National Archives and Records Administration
700 Pennsylvania Avenue, NW, Room 502E
Washington, DC 20408

Mr. Bradley:

Enclosed is the Department of Justice response to the Office of Management and Budget Circular A-11 requirement to provide an estimate of the costs associated with classifying and safeguarding National Security Information. The estimated costs were prepared in accordance with the instructions provided in Mr. Cira's letter, dated November 30, 2016, entitled "Report on Cost Estimates for Security Classification Activities for FY 2016."

Should you have any questions regarding this report, please contact Mr. [REDACTED],
Assistant Director, Office of Information Safeguards and Security Oversight, Security and
Emergency Planning Staff, on [REDACTED].

b(6)

Sincerely,

James L. Dunlap
Department Security Officer

Enclosures

AGENCY SECURITY CLASSIFICATION COSTS ESTIMATES

Department/Agency: United States Department of Justice	Fiscal Year: 2016
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Point of Contact: (Name and phone number) [REDACTED]	b(6)
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Reporting Categories

Please use actual dollar figures.

1. Personnel Security

(include clearance program, initial investigations, national agency checks when used as basis for granting a clearance, adjudication, reinvestigation, polygraph associated with classification-related activities)

\$133,298,089.08

2. Physical Security

(include physical security equipment, protective forces, intrusion detection and assessment, barrier/controls, tamper-safe monitoring, access control/badging, visitor control associated with classification-related activities)

\$56,332,337.15

3. Classification Management

(include resources used to identify, control, transfer, transmit, retrieve, inventory, archive, declassify, or destroy classified information)

\$3,064,958.27

4. Declassification

(include resources used to identify and process information subject to the automatic, systematic, discretionary, or mandatory review programs authorized by Executive Order or Statute)

\$2,007,802.35

5. Protection and Maintenance for Classified Information Systems

(include resources used to protect and maintain classified information systems from unauthorized access or modification of information, and against the denial of service to authorized users, including measures necessary to detect, document, and counter such threats)

\$76,593,197.26

6. Operations Security and Technical Surveillance Countermeasures

(include personnel and operating expenses associated with OPSEC and TSCM)

\$6,022,609.51

7. Professional Education, Training, and Awareness

(include resources used to establish, maintain, direct, support, and assess an information security training and awareness program; certification and approval of the training program; development, management, and maintenance of training records; training of personnel to perform tasks; and qualification and/or certification of personnel associated with classification-related activities)

\$2,503,617.73

8. Security Management, Oversight, and Planning

(include resources associated with research, test, and evaluation; surveys, reviews, accreditation, and assessments; special access programs; security and investigative matters, industrial security; and foreign ownership, control, or influence (FOCI))

\$11,766,869.12

9. Unique Items

(include department/agency-specific activities not reported in any of the categories listed above, but are nonetheless significant and need to be included)

\$1,984,198.94

TOTAL

(sum of items 1-9)

\$293,573,679.41

Narrative: Provide a brief explanation of any significant difference between last year's and this year's cost estimates. Explain items entered into block 9, Unique Items.

Per Standard Form 716 instructions, narrative is provided as a separate attachment.

Narrative for Fiscal (FY) 2016

The Department of Justice (DOJ) is reporting a total of \$293,573,679.41 in security costs for fiscal year 2016 (FY16). This is a \$36,904,307.24 (approximately 14%) increase from fiscal year 2015 (FY15) reported costs. The following narratives summarize DOJ responses for related security categories with significant reporting differences between FY 15 and FY 16. In addition to this narrative, we have attached cost estimate comparison data and related charts.

1. Personnel Security:

DOJ is reporting a \$27,820,624.64 (approximately 26%) increase in personnel security costs from the previous fiscal year. Additional costs are primarily attributed to a sizable increase in the number of reinvestigations and initial applicant investigations as a result of continuing hiring initiatives. Other factors cited include OPM price increases and ongoing backlog reduction efforts for overdue reinvestigations.

2. Physical Security:

DOJ is reporting a \$2,625,513.26 (approximately 5%) increase in physical security costs from the previous fiscal year. No significant difference from FY15. Physical security increases are primarily attributed to security related construction costs associated with the relocation of a component headquarters in FY16.

3. Classification Management:

DOJ is reporting a \$219,550.86 (approximately 7%) decrease in Classification Management expenses from the previous fiscal year. No significant difference from FY15.

4. Declassification:

DOJ is reporting a \$147,184.41 (approximately 7%) decrease in Declassification costs from the previous fiscal year. No significant difference from FY15.

5. Protection and Maintenance of Classified Information Systems:

DOJ is reporting a \$5,871,946.80 (approximately 8%) increase in the Protection and Maintenance of Classified Information Systems costs from the previous fiscal year. No significant difference from FY15. Cost increases cited by components include new equipment purchases, reimbursable agreements and additional funding for emergent information assurance requirements.

Narrative for Fiscal (FY) 2016

6. OPSEC TSCM:

DOJ is reporting a \$187,38807 (approximately 3%) decrease in Operations Security and Technical Security Countermeasures costs from the previous fiscal year. No significant difference from FY15.

7. Education and Training:

DOJ is reporting a \$356,364.66 (approximately 12%) decrease in Professional Education, Training and Awareness costs from the previous fiscal year. Three components reported significant cost decreases while the remaining components were split with either slight to moderate cost decreases or cost increases. Significant decreases were due to utilization of additional online training, reductions in training related travel and the fulfillment of a specialized FY 15 component training requirement.

8. Security Management, Oversight, and Planning:

DOJ is reporting a \$1,496,710.54 (approximately 15%) increase in Security Management, Oversight, and Planning costs from the previous fiscal year. Two components reported significant increases for FY 16 while the remaining components reported costs similar to those reported for FY 15. Increases were associated with a Security Program Review conducted by a contract vendor in FY16 and additional costs associated with oversight, accreditation and contingency planning activities.

9. Unique Items:

DOJ is reporting stable Unique Items costs (0% change) from FY 15. Unique Item costs were dedicated solely to the protection of classified information from unauthorized disclosure during classified civil proceedings and criminal litigation pursuant to the Classified Information Procedures Act (CIPA) [PUB L. 96-456, 94 STAT. 2025] and to security assistance in Habeas Guantanamo detainee cases.

Department of Justice Security Cost Estimates

FY 2015 DOU SECURITY COST ESTIMATES TOTALS		FY 2016 DOU SECURITY COST ESTIMATES TOTALS	
Personnel Security	\$ 105,477,464.44	Personnel Security	\$ 133,296,089.08
Physical Security	\$ 53,706,873.89	Physical Security	\$ 56,332,337.15
Classification Management	\$ 3,284,594.13	Classification Management	\$ 3,064,958.27
Declassification	\$ 2,154,986.76	Declassification	\$ 2,007,802.35
Classified Information Systems	\$ 70,721,250.46	Classified Information Systems	\$ 76,593,197.26
OPSEC TSCM	\$ 6,209,997.58	OPSEC TSCM	\$ 6,022,609.51
Education, Awareness, Training	\$ 2,859,982.39	Education, Awareness, Training	\$ 2,503,617.73
Management, Oversight, Planning	\$ 10,270,158.58	Management, Oversight, Planning	\$ 11,766,869.12
Unique Items	\$ 1,984,198.94	Unique Items	\$ 1,984,198.94
Calculated Total	\$ 256,669,372.17		\$ 293,573,679.41
			\$ 36,904,307.24
			\$ 14.38

